

QUARTERLY MONITORING REPORT

DIRECTORATE: Health & Community
SERVICE: Adults of Working Age
PERIOD: Quarter 3 to period end 31st December 2009

1.0 INTRODUCTION

This quarterly monitoring report covers the Adults of Working Age Department third quarter period up to 31st December 2009. It describes key developments and progress against key objectives and performance indicators for the service.

The way in which RAG symbols have been used to reflect progress to date is explained in Appendix 5.

2.0 KEY DEVELOPMENTS

Personalisation

A Transformation Team is now established to progress the personalisation agenda. There is a project management structure with dedicated work streams. The planning live programme has enabled 7 individuals across Adults with Learning Disabilities and Physical and Sensory Disabilities services (ALD & PSD) to receive an indicative allocation and support plan and this programme has now started in mental health services. Physical and Sensory Disabilities are currently implementing the conversion of current direct payment recipients to an individual budget service and set up 'PSD Live' moving into a self directed support process.

Mental Health

Employment: the employment worker continues in post, and has developed strong links with the local mental health services and with employment services. There has been some limited success in accessing employment opportunities for people with severe mental health problems, but it has been agreed that the employment worker can now market potential employees directly to employers. The Richmond Fellowship project has also begun and there is a regular steering group for both processes.

Personalisation: the Planning Live process continues within mental health services and six people are developing their indicative allocations and support plans. Halton has been selected as a national pilot site for person-centred reviews, and three mental health provider services will be taking part in this. An event for senior staff from the 5 Boroughs Partnership, along with St Helens, Warrington and Knowsley Councils mental health and personalisation leads, will be taking place on 26th January 2010, with a follow-up event planned for April 2010. This will be facilitated by national leads in personalisation, Helen Sanderson Associates.

Mental Health Single Point of Access: this continues to be developed in partnership with the PCT, with the intention that the service should be fully operational by April 2010, with partial operation from January 2009. A social worker is in place and is supporting the development of the service.

Care Programme Approach: this is an essential policy and procedure within mental health services, as it describes the process of assessment and care management that people can expect to receive. Following intensive and detailed work by officers of the Council on this, the 5Boroughs have now issued a revised draft. This will be taken for approval within Borough Council processes.

Approved Mental Health Professionals: due to the focus of the 5Boroughs on obtaining Foundation status, no further work has taken place to develop the AMHP role within health care staff. This will be taken forward in the next Quarter.

Adults with Learning Disabilities & Physical Sensory Disabilities

The Transition co-ordinator has secured funding from the Learning Skills Council (Sept 09 - July 2010). This will be used to identify more options for young people with learning difficulties in Halton who are about to leave school. The project is called Transforming Transition. Halton Speak Out, a self-advocacy charity, will conduct a person centred review with each young person targeted. A copy of the review will be sent to colleges. A Broker from the college will look at the person centred review to identify what can be offered to the young person, in relation to work, college or social and leisure activities.

A person has been identified as part of the out of area review project to return to the Borough. A property has now been identified and work is in progress to identify an appropriate support provider. This is part of a wider project to further improve the figures for NI 145: Adults with learning disabilities in settled accommodation.

A local authority learning disability register has been established and the ALD team are completing health action plans alongside the annual health checks provided by GP practices or via Learning Disability Nurses for those people who are not registered with a participating practice. The DES has been extended to March 2011 which shows the commitment to this important area of work.

3.0 EMERGING ISSUES

Mental Health

Halton Council has been awarded national pilot status for a project to develop person-centred reviews within provider services, and has agreed that the sole focus of this will be on mental health services. This pilot will be beginning in February 2010. Additionally, the Halton is part of a regional pilot for outcome focused planning in mental health services, and these two processes will be

linked together.

A high-level review of the role and function of Community Mental Health Teams is currently taking place across the four Boroughs of Halton, St Helens, Warrington and Knowsley, led by the PCT commissioners. Local reviews are being added to this to ensure that the services meet local requirements, and these reviews are currently under way. It is expected that reporting on the outcome of the review will take place in April 2010.




A review of the role and function of the Mental Health Outreach Team is also taking place, in partnership with NHS Halton and St Helens. This will be reporting in Spring 2010.

Adults with Learning Disability and Physical Sensory Disability

PSD have been identified as the team to take forward the personalisation agenda. The pilot 'PSD Live' will require that all new community based assessments that are presented will be accompanied by a support plan and not a care plan. Additionally six week reviews will be completed using a person centred review. It is envisaged that once the Resource Allocation System (RAS) is agreed the current assessment documentation will cease and will be replaced by RAS to identify an up front allocation of funding.

The tri partite collaboration 'Next Steps' between Halton Borough Council, Halton and St Helens PCT and St Helens Council have successfully attracted a further £20,000 funding from the Department of Health in order to help to achieve PSA 16 targets.

4.0 PROGRESS AGAINST MILESTONES/OBJECTIVES

Total	6		6		0		0
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All key milestones/objectives on target.

5.0 SERVICE REVIEW

Mental Health




A review of the role and function of the Mental Health Outreach Team is taking place and should be reporting by Spring 2010. This is being done jointly with NHS Halton and St Helens.

A review of the role and function of Community Mental Health Teams is taking place across the four boroughs of Halton, St Helens, Warrington and Knowsley. This is being led by the health service commissioners but with

substantial input from local authority partners. Although the review is overarching across the four boroughs, each locality is also undertaking its own review to ensure that services meet the requirements of local commissioning. The overarching review is expected to report by the end of March 2010, with local reviews reporting by the summer of 2010.




A review of the Mental Health Partnership Agreement is being undertaken by Internal audit and will report at the end of March 2010.

6.0 PROGRESS AGAINST KEY PERFORMANCE INDICATORS

Total	8		7		1		0
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All KPIs are on target as at Q3, the exception being 'Carers receiving needs assessment or review'.

6.1 PROGRESS AGAINST OTHER PERFORMANCE INDICATORS

Total	3		0		3		0
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Non key indicators are reported at Q3 only by exception and in this quarter three attracted amber RAG symbols.

7.0 RISK CONTROL MEASURES

During the production of the 2009-12 Service Plan, the service was required to undertake a risk assessment of all Key Service Objectives.

Where a Key Service Objective has been assessed and found to have associated 'High' risk, progress against the application of risk treatment measures is to be monitored, and reported in the quarterly monitoring report in quarters 2 and 4. No risk control measures were identified

8.0 PROGRESS AGAINST HIGH PRIORITY EQUALITY ACTIONS

During 2008/09 the service was required to undertake an Equality Impact Assessment. Progress against actions identified through that assessment, with associated High priority are to be reported in the quarterly monitoring report in quarters 2 and 4.






9.0 DATA QUALITY

The author provides assurances that the information contained within this report is accurate and valid and that every effort has been made to avoid the


omission of data. Where data has been estimated, has been sources directly from partner or other agencies, or where there are any concerns regarding the limitations of its use this has been clearly annotated.

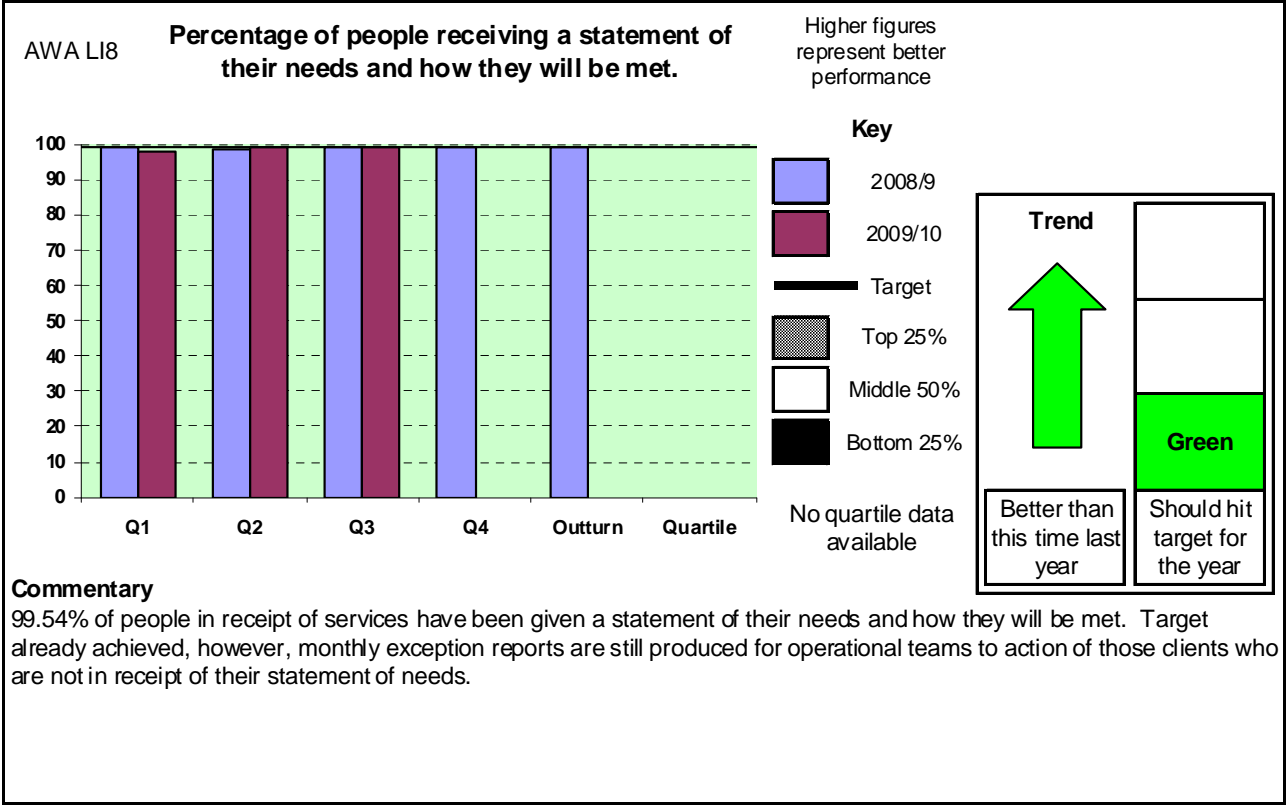
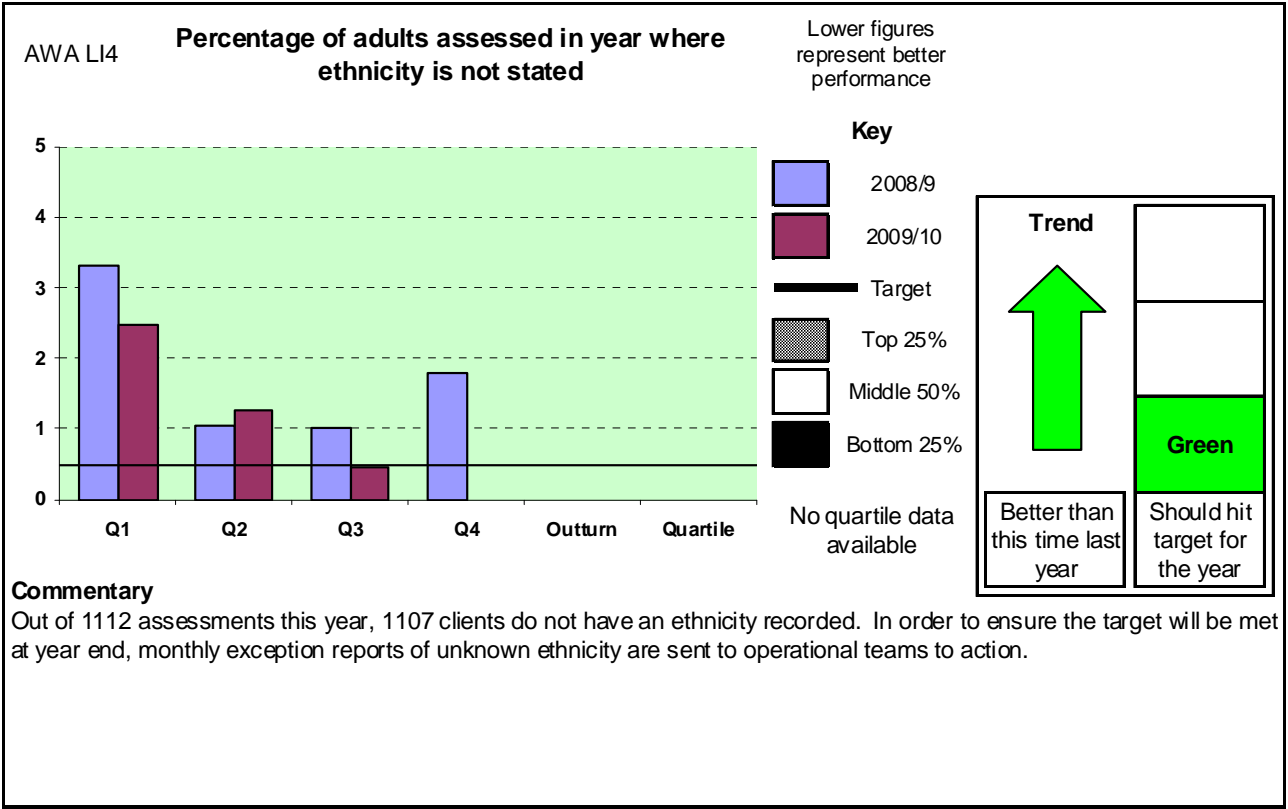
10.0 APPENDICES

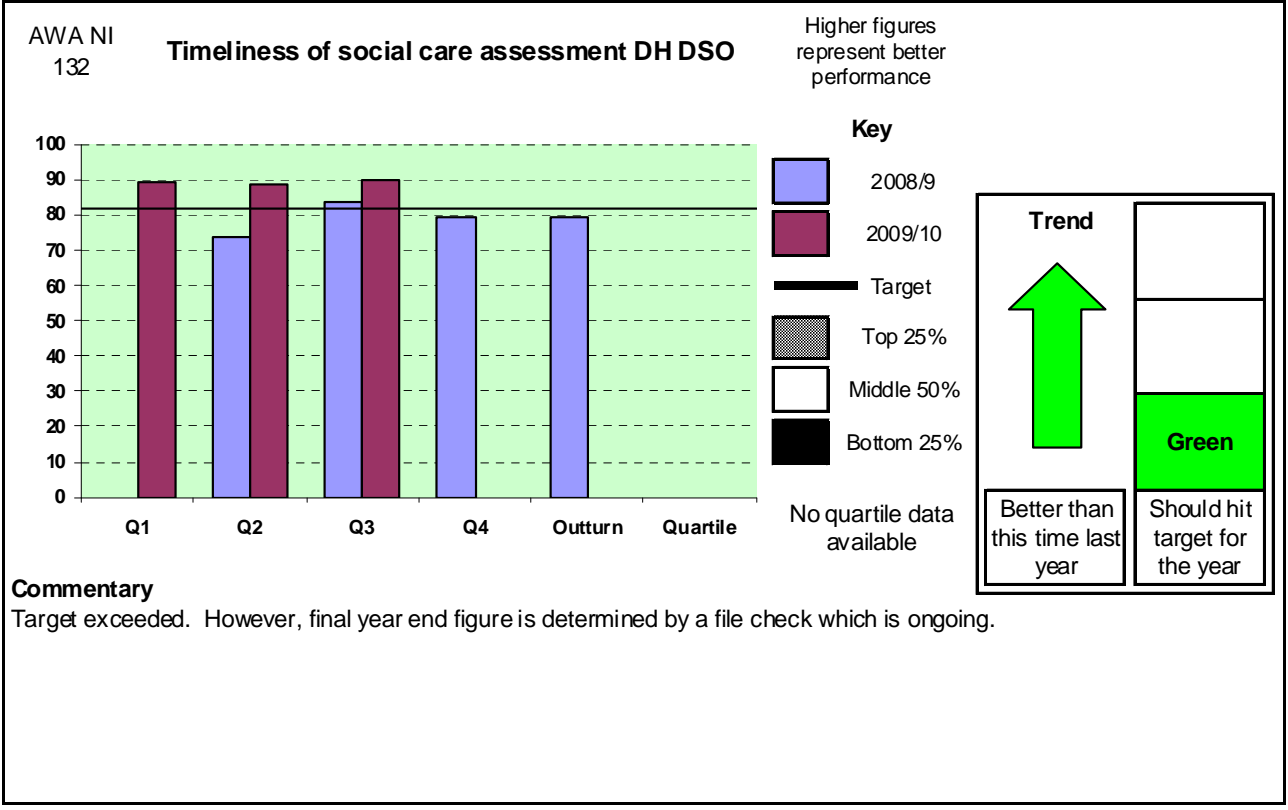
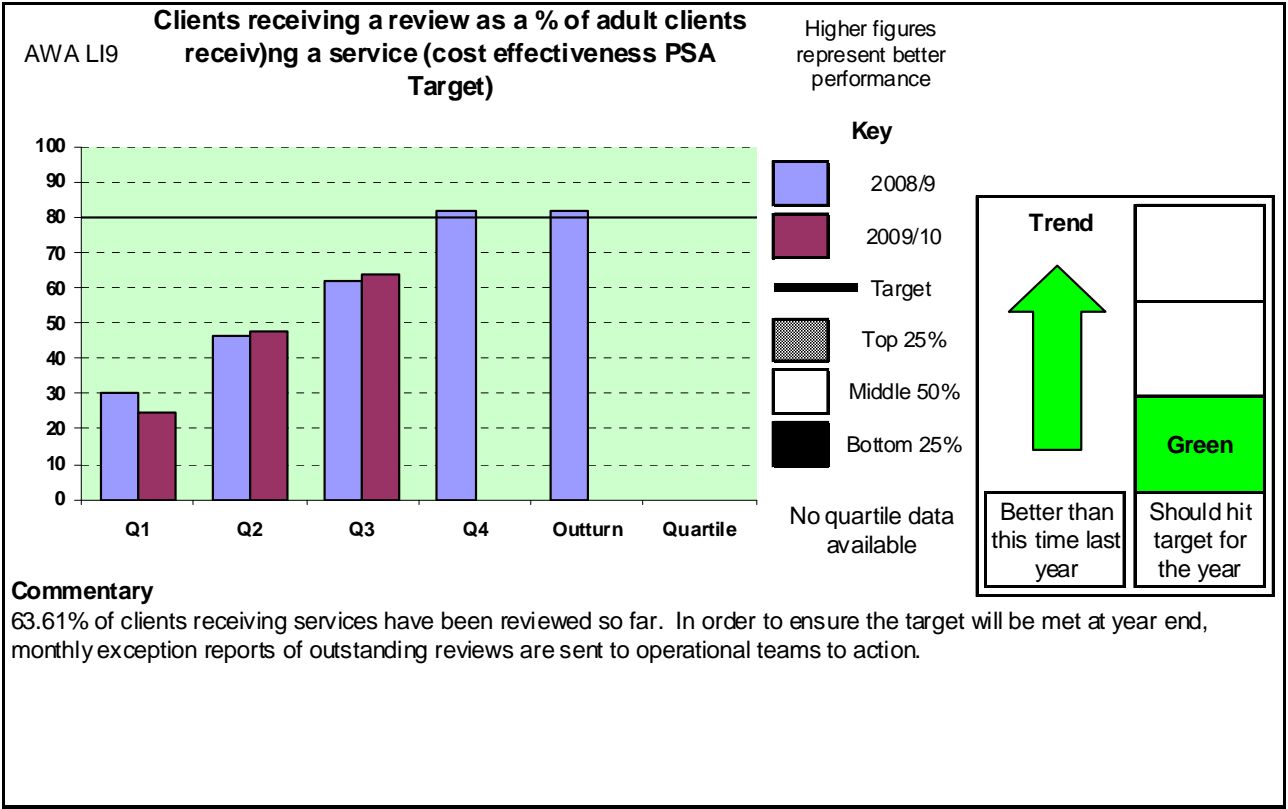
Appendix 1- Progress against Key Objectives/ Milestones
Appendix 2- Progress Against Key Performance Indicators
Appendix 3- Progress against Performance Indicators
Appendix 4- Financial Statement
Appendix 5- Explanation of RAG Symbols

Service Plan Ref.	Objective	2009/10 Milestone	Progress to date	Commentary
AWA 1	Working in partnership with statutory and non statutory organisations, evaluate, plan, commission and redesign services to ensure that they meet the needs and improve outcomes for Adults of Working Age	Analyse the impact of Valuing People Now on service delivery to ensure that services met the needs and improve outcomes for people with LD Mar 2010 (AOF 6 & 7)		Annual Report now in draft form and will assist in identifying areas for development
		Implement strategy to deliver improved services to younger adults with dementias Mar 2010 (AOF 6)		The dementia strategy continues to be developed and has incorporated all the recommendations arising from the review of services for younger adults with dementia earlier this year. An action plan will be developed to implement this.
		Review implementation of Mental Health Act 2007 to ensure all policies, procedures and processes are fit for purpose Oct 2009 (AOF 6)		All comments and proposals for amendments to the Mental health Act policies and procedures have now been received. Policies are to be amended in Q4.
		Implement agreed recommendations of review of services and supports to children and adults with Autistic Spectrum Disorder Mar 2010 (AOF 6)		Strategy still in process of being drafted and linked to service for Positive Behaviour which is in development
		Review implementation of Mental Health Act 2007 to ensure all policies, procedures and processes are fit for		All comments and proposals for amendments to the Mental health Act policies and procedures have now been received. Policies are

**APPENDIX ONE - PROGRESS AGAINST OBJECTIVES/MILESTONES
Adults of Working Age**

Service Plan Ref.	Objective	2009/10 Milestone	Progress to date	Commentary
AWA 2	Effectively consult and engage with Adults of Working Age to evaluate service delivery, highlight any areas for improvement and contribute towards the effective re-design of services where required	purpose Oct 2009 (AOF 6)		to be amended in Q4.
		Implement agreed recommendations of review of services and supports to children and adults with Autistic Spectrum Disorder Mar 2010 (AOF 6)		Strategy still in process of being drafted and linked to service for Positive Behaviour which is in development

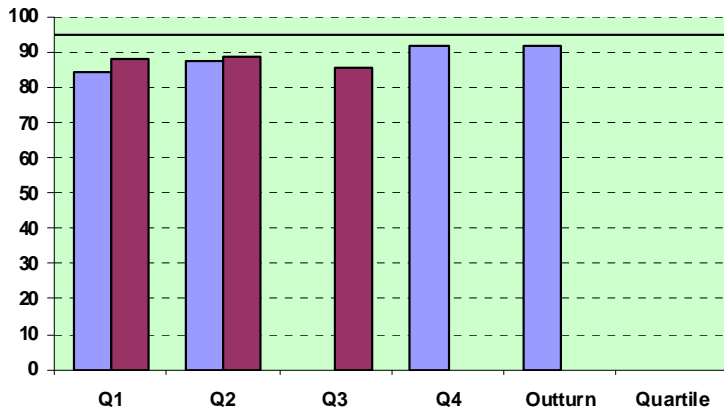




AWA NI
133

Timeliness of social care packages DH DSO

Higher figures represent better performance



Key

- 2008/9
- 2009/10
- Target
- Top 25%
- Middle 50%
- Bottom 25%
- No quartile data available

Trend	<div style="border: 1px solid black; width: 100%; height: 100%;"></div>
No data for same Qtr last year	<div style="background-color: #00ff00; width: 100%; height: 100%; text-align: center; color: black; font-weight: bold;">Green</div>
	Should hit target for the year

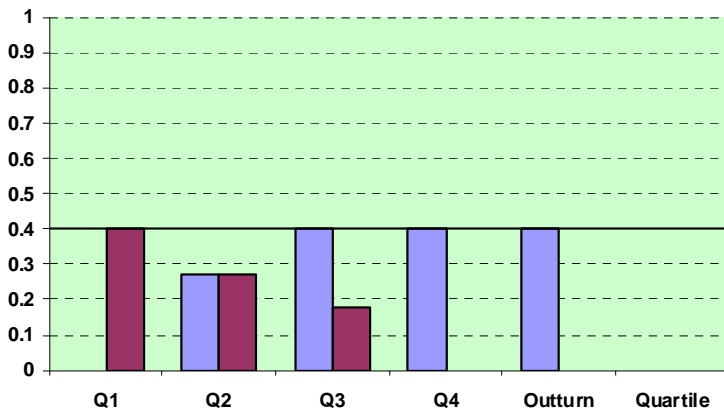
Commentary

Final year end figure is determined by a file check which is ongoing

AWA LI10

Admissions of Supported Residents aged 18-64 into residential/nursing care

Lower figures represent better performance



Key

- 2008/9
- 2009/10
- Target
- Top 25%
- Middle 50%
- Bottom 25%
- No quartile data available

Trend	<div style="border: 1px solid black; width: 100%; height: 100%;"></div>
<div style="color: #00ff00; font-size: 2em; font-weight: bold;">↑</div>	<div style="background-color: #00ff00; width: 100%; height: 100%; text-align: center; color: black; font-weight: bold;">Green</div>
Better than this time last year	Should hit target for the year

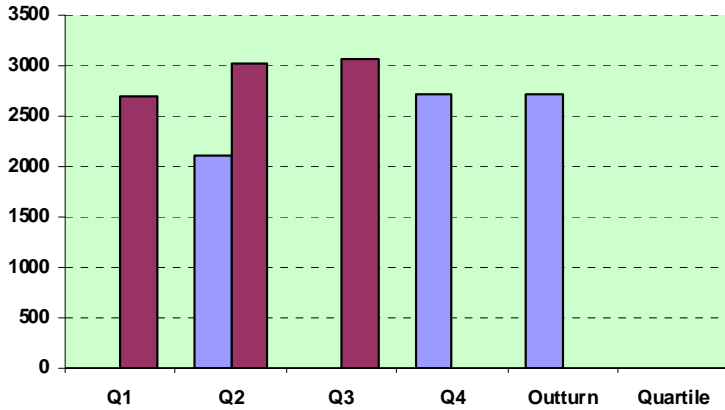
Commentary

1 person admitted to permanent residential/nursing care this year. Target equates to 3 people

AWA NI
136

People supported to live independently through social services (all ages) PSA 18

Higher figures represent better performance



Key

- 2008/9
- 2009/10
- Target
- Top 25%
- Middle 50%
- Bottom 25%

No quartile data available

Trend	
	No data for same Qtr last year

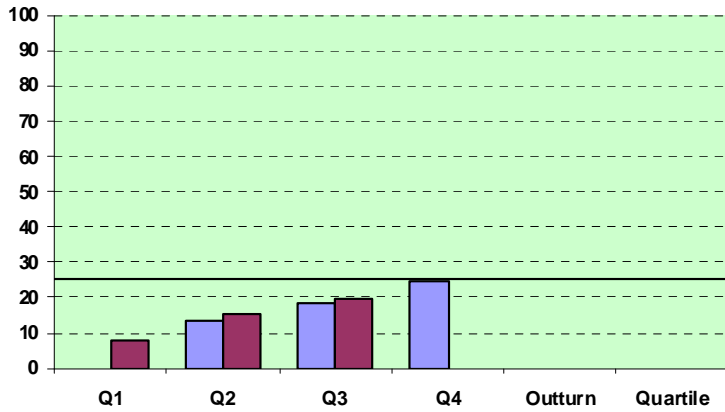
Commentary

Q3 performance has increased from Q2 performance. Helped to live at home continues to be good. No target has been set for 2009/10, therefore a traffic light indicator cannot be allocated

AWA NI
135

Carers receiving needs assessment or review and a specific carer's service, or advice and information DH DSO

Higher figures represent better performance



Key

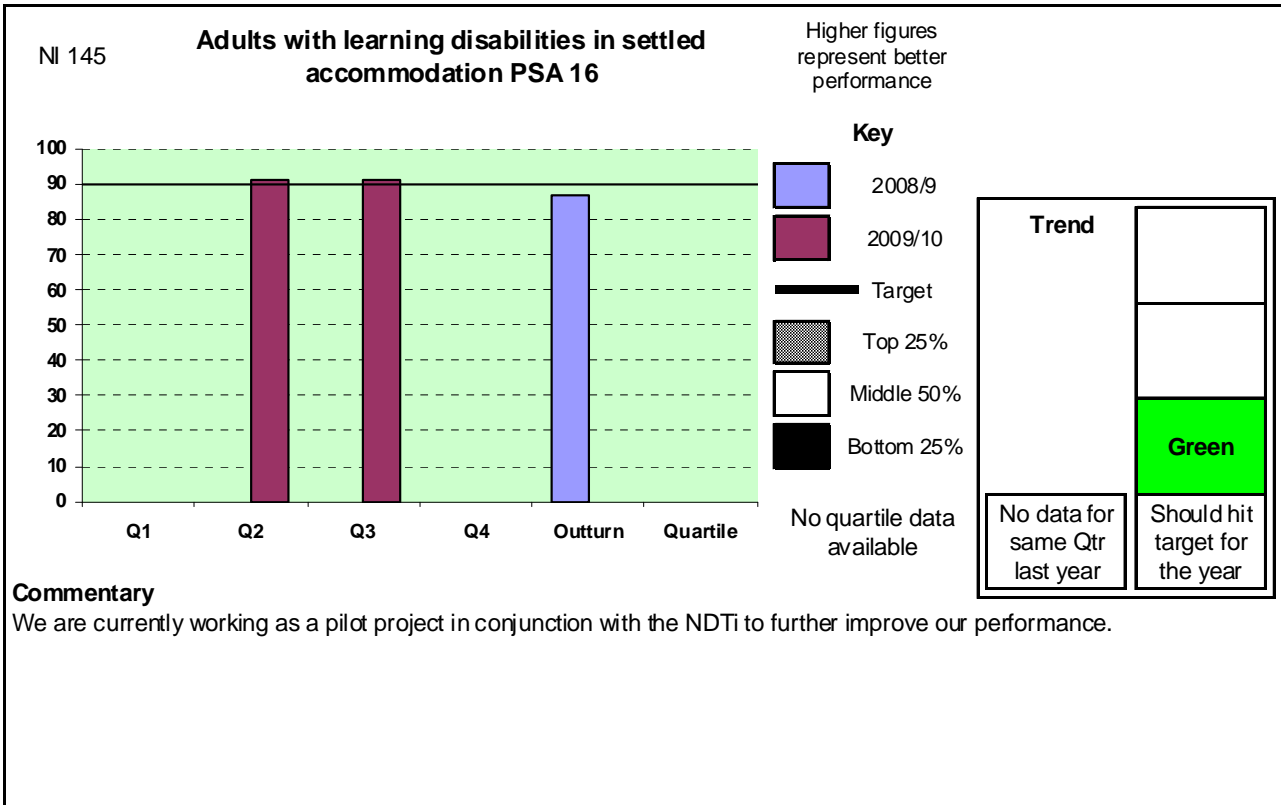
- 2008/9
- 2009/10
- Target
- Top 25%
- Middle 50%
- Bottom 25%

No quartile data available

Trend	
	Green
Better than this time last year	Should hit target for the year

Commentary

To date 830 carers have received an assessment/review and a specific carers service, or advice and information. Exception reports are produced on a monthly basis of carers who have not yet received an assessment/review but have had a service for operational teams to action.



The following indicator could not be included as a table for the reason stated: -

NI 131 Delayed transfers of care

Data derived from health, which is not yet available

Ref.	Description	Actual 2008/09	Target 20091/0	Quarter 3	Progress	Commentary
Cost & Efficiency						
AWA LI 5	Number of learning disabled people helped into voluntary work in the year	56	43	34	?	34 learning disabled people have been helped into voluntary work in the year. In order to achieve the target at year end, a further 9 clients are required.
AWA LI 6	Number of physically disabled people helped into voluntary work in the year	14	5	3	?	3 physically disabled people have been helped into voluntary work in the year. In order to achieve the target at year end, a further 2 clients are required.
AWA LI 7	Number of adults with mental health problems helped into voluntary work in the year	8	17	6	?	6 adults with mental health problems have been helped into voluntary work in the year. In order to achieve the target at year end, a further 11 clients are required.

HEALTH & COMMUNITY – ADULTS OF WORKING AGE (ALD, MH, PSD)

Revenue Budget as at 31st December 2009

	Annual Revised Budget	Budget To Date	Actual To Date	Variance To Date (overspend)	Actual Including Committed Items
	£000	£000	£000	£000	£000
<u>Expenditure</u>					
Employees	3,422	2,466	2,407	59	2,410
Premises	197	146	146	0	146
Other Premises	66	52	44	8	59
Joint Equipment Service	231	95	93	2	93
Supplies & Services	379	242	213	29	228
Food Provisions	9	7	2	5	2
Aid & Adaptations	113	85	106	(21)	131
Transport of Clients	633	435	413	22	488
Departmental Support Services	1,089	0	0	0	0
Central Support Services	524	393	393	0	393
Contract & SLAs	915	640	611	29	611
Emergency Duty Team	95	48	44	4	44
Community Care:					
Residential Care	871	588	653	(65)	653
Home Care	687	460	482	(22)	482
Direct Payments	759	556	610	(54)	610
Supported Living	60	42	18	24	18
Day Care	26	18	0	18	0
Unallocated Grants	147	0	0	0	0
Asset Charges	203	0	0	0	0
Contribution to ALD Budget	6,963	4,399	4,141	258	4,283
Total Expenditure	17,389	10,672	10,376	296	10,651
<u>Income</u>					
Residential Fees	-136	-94	-67	(27)	-67
Fees & Charges	-151	-105	-120	15	-120
Preserved Rights Grant	-92	-69	-68	(1)	-68
Supporting People Grant	-371	-257	-242	(15)	-242
Mental Health Grant	-600	-475	-475	0	-475
Carer Grant	-518	-388	-388	0	-388
Mental Capacity IMCA Grant	-85	-64	-64	0	-64
Aids Support Grant	-5	-5	-11	6	-11
Local Involvement Network Grant	-110	-82	-82	0	-82
Community Roll Out Funding	-31	-31	-41	10	-41
Tobacco Control Grant	-100	-100	-100	0	-100
Training Support Implementation	-14	-14	-15	1	-15
PCT Reimbursement	-503	-252	-247	(5)	-247
Other Income	-31	-31	-31	0	-31
Total Income	-2,747	-1,967	-1,951	(16)	-1,951
Net Expenditure	14,642	8,705	8,425	280	8,700

Comments on the above figures:

In overall terms revenue spending at the end of quarter 3 is below budget profile by £22k, excluding the ALD pool budget.

Employee costs are less than expected at this stage of the financial year due to a number of vacancies relating to front line staff within the department particularly within PSD services.

The Community Care budget for service users with mental health needs and physical and sensory disabilities as a whole, including associated fees and charges is £111k over budget profile. This is due to a number of high cost packages being agreed in quarter 2 which continue to put pressure on the budget. The community care budget will be closely monitored throughout the remainder of the financial year to ensure it is contained within the departments' budget.

The Aids and adaptations budget is also over budget profile, as expected, as more service users are supported within their own homes as opposed to moving into residential homes.

Note: A summary of the H.B.C. Contribution to ALD Pooled Budget can be found on the following page:

Adults of Working Age

Capital Budget as at 31st December 2009

	2009/10 Capital Allocation £000	Allocation To Date £000	Actual Spend To Date £000	Allocation Remaining £000
<u>Social Care & Health</u>				
Mental Health Centre	115	115	115	0
Pods utilising DFG	17	17	0	17
Total Spending	132	132	115	17

HEALTH & COMMUNITY – ADULTS WITH LEARNING DISABILITIES

Contribution to ALD Pooled Budget

Revenue Budget as at 31st December 2009

	Annual Revised Budget	Budget To Date	Actual To Date	Variance To Date (overspend)	Actual Including Committed Items
	£000	£000	£000	£000	£000
<u>Expenditure</u>					
Residential Care	1,259	841	673	168	673
Supported Living	2,030	1,488	1,434	54	1,456
Home Care	1,362	921	915	6	915
Direct Payments	726	621	670	(49)	670
Day Services	1,866	1,318	1,292	26	1,348
Specialist LD Team	553	415	470	(55)	526
Management Costs	1,181	309	318	(9)	318
Respite	361	236	195	41	203
Support for Advocacy	42	20	19	1	19
Other Expenditure	107	50	54	(4)	54
Total Expenditure	9,487	6,219	6,040	179	6,182
<u>Income</u>					
Rents & Service Charges	-28	-19	-12	(7)	-12
Community Care Fees	-87	-60	-76	16	-76
Residential Fees	-111	-77	-66	(11)	-66
Direct Payments	-35	-24	-37	13	-37
Supporting People Grant	-956	-685	-685	0	-685
Preserved Rights Grant	-374	-280	-277	(3)	-277
Campus Closure Grant	-57	-57	-57	0	-57
LDDF	-149	-112	-112	0	-112
CITC – Astmoor	-53	0	0	0	0
CITC – Special Needs	0	0	0	0	0
PCT Income	-79	0	0	0	0
CHC – PCT Reimbursement	-437	-347	-375	28	-375
Other Fees & Charges	-158	-158	-202	44	-202
Total Income	-2,524	-1,819	-1,899	80	-1,899
Net Expenditure	6,963	4,400	4,141	259	4,283

HEALTH & COMMUNITY – LOCAL STRATEGIC PARTNERSHIP BUDGET

Budget as at 31st December 2009




	Annual Budget	Budget To Date	Actual To Date	Variance To Date (Overspend)	Actual Including Committed Items
	£'000	£'000	£'000	£'000	£'000
Priority 1 Healthy Halton					
Diet & Exercise Programme	23	17	0	17	0
Vulnerable Adults Task Force	100	75	194	(119)	194
Vol. Sector Counseling Proj.	41	31	23	8	23
Info. Outreach Services	35	26	17	9	17
Reach for the Stars	36	27	17	10	17
Complementary Therapies	21	15	10	5	10
Capacity Building	58	43	27	16	27
Dignity	53	39	11	28	11
Living Well with Dementia	48	0	0	0	0
Autism Spectrum Disorder	0	0	-4	4	-4
Priority 4 Employment Learning & Skills					
Voluntary Sector Sustainability	7	5	0	5	0
LSP TEAM					
Unallocated Funds	11	8	0	8	0
Total Expenditure	433	286	295	(9)	295

Please note:

Autism Spectrum Disorder has £4k carry forward from 2008/9 not yet committed.

Additional costs incurred against the Vulnerable Adults Task Force will be met by the PCT.

Application of RAG symbols:

	<u>Objective</u>	<u>Performance Indicator</u>
<u>Green</u>	 Indicates that the milestone/objective <u>will</u> be achieved within the identified timeframe.	Indicates that the annual target <u>will</u> , or has, been achieved or exceeded.
<u>Amber</u>	 Indicates that at this stage it is <u>uncertain</u> as to whether the milestone/objective will be achieved within the identified timeframe.	Indicates that it is either <u>unclear</u> at this stage or too early to state whether the target is on course to be achieved.
<u>Red</u>	 Indicates that the milestone/objective <u>will not</u> , or has not, been achieved within the identified timeframe.	Indicates that the <u>target will not be achieved</u> unless there is an intervention or remedial action taken.